

# Waste Incinerator Emission Prediction using Recurrent Neural Networks

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**Abstract-** The emission of dioxins from waste incinerators is one of the most important environmental problems today. It is known that optimization of waste incinerator controllers is a very difficult problem due to the complex nature of the dynamic environment within the incinerator. In this paper, we propose applying recurrent neural networks to predict waste incinerator emission. We show that recurrent neural networks can project the emission of dioxins with a fair degree of accuracy

## I. INTRODUCTION

Dioxine emission from waste incinerator plants is one of the hottest ecological problems today. In waste incinerator plants, the chemical reactions in the incinerator occur under a very dynamic environment, making its control a very complex task, and current state-of-the-art incinerator facilities have not succeeded in completely removing the dioxine emission. The volume, density and contents of the garbage to be incinerated are not constant, so it is impossible to control the combustion as in a laboratory environment. One of the causes of dioxine emission in waste incinerator plants is due to the fluctuations in the amount of garbage fed in the incinerator. The fluctuation in garbage fed leads to temporary deterioration of the combustion state (i.e. oxygen rate), and short peaks of dioxine emission occur.

There has been past research in intelligent estimation of dioxine emission from waste incinerators. Fujiyoshi et al [1] has proposed applying fuzzy control to incinerator control to decrease the dioxine emission. Ichihashi et. al [4] has applied statistical analysis to calculate the correlation of various input signals with dioxine emissions. Fukushima [5] has proposed applying fractal fuzzy control in order to estimate and control dioxine emission.

For this research, we investigate methods applying recurrent neural networks for the prediction of dioxine emission to be used for the combustion control in order to decrease the dioxine emission. Recurrent neural network structure was selected for the ability of recurrent networks in finding patterns in sequential data. Our aim is to compare emission prediction accuracy of the black-box method using neural networks against the results of previous statistical methods and fuzzy decision methods.

## II. TRAINING REQUIREMENTS FOR WASTE INCINERATORS

For this research, we used real waste incinerator data provided by Hitachi Zosen Corporation. Fluidized bed incinerator data from the Ryotsu City Clean Center in Niigata prefecture, Japan, was used. Figure 1 shows the schematic diagram of the fluidized bed waste incinerator.

The data consists of the following sensor values measuring various conditions of the incinerator. Flapper angle(0 ~ 100.00%), oxygen concentration in incinerator exit (0 ~ 25.000%), garbage rate(t/H), incinerator temperature(0 ~ 1200.0□), carbon monoxide concentration(0 ~ 500.0ppm), incinerator pressure(-2000.0 ~ 1000.0ppm), cooling liquid rate(0 ~ 1.0000m<sup>3</sup>/h), conveyer belt speed(0 ~ 7.000rpm), primary air supply(0~7.500KNm<sup>3</sup>/h), secondary air supply base(0~7.500Nm<sup>3</sup>/h), secondary air supply modification(0~7.500KNm<sup>3</sup>/h).

The flapper is lifted as garbage is carried by the conveyer belt, and the flapper angle is used as the measure of garbage volume. The above sensor data was collected in approximately 2 second intervals.

It is known that CO (carbon monoxide) concentration over 100ppm show strong correlation with dioxine concentration. For this research, we use the CO concentration as the target output, and aim to reduce the average CO concentration as well as to reduce the number of CO concentration peaks over 100ppm.

From the collected data, it can be observed that when the flapper angle (garbage volume) increases, after a time delay the oxygen concentration decreases, and after further time delay the carbon monoxide concentration increases. This can be explained by the following. The increased garbage measured by the flapper takes some time before arriving at the incinerator. The increased garbage in the incinerator increases the combustion and consumes more oxygen, which lowers the oxygen concentration. The temporarily decreased oxygen concentration deteriorates the combustion state and a peak in carbon monoxide occurs due to imperfect combustion. Since the carbon monoxide sensor is placed at the incinerator emission, the peak in carbon monoxide concentration is displayed after a further time delay.

From the above observation, for this paper we especially

concentrate on flapper angle and oxygen concentration as input for predicting CO output.

### III. INCINERATOR CONTROL USING RECURRENT NEURAL NETWORKS

For each of the different types of incinerator sensor data, there is an apparent correlation just described, but direct correlation between the sensor data and carbon monoxide concentration is not very strong. This is because the environment in the incinerator is a complex dynamic environment in which the different items are dependent on each other, and is not a simple dependency relationship.

Artificial neural networks can be characterized by its "black box" approach to learn and classify complex data patterns. For this research, we propose applying recurrent neural networks (RNN) in incinerator emission prediction, using the RNN to learn the complex relationship between incinerator sensor data. Recurrent neural networks are known to classify time series data efficiently, using the feedback network connection to reference past series data.

For the neural network structure, we considered the recurrent network proposed by Jordan[2] and Elman[3]. Figure 2 shows the structure comparison of Jordan and Elman networks. Both network structures were compared using 3 layer network (1 input layer, 1 hidden layer, 1 output layer) using BP (backward propagation) training. From preliminary experiments we found that the Elman network produced higher accuracy, and for further experiments we used the Elman recurrent neural network structure.

The proposed incinerator emission prediction network is part of a larger incinerator controller system plan. The incinerator controller system using artificial neural networks is divided into 2 sections, the dioxyne prediction section and the combustion controller section. Each section uses independently trained neural networks. The dioxyne prediction network uses incinerator sensor input and predicts the carbon monoxide (hence dioxyne) emission rate before the actual emission occurs. The combustion controller network uses input from the dioxyne prediction network as well as incinerator sensors, and outputs incinerator control values which will decrease the carbon monoxide emission.

For this paper, we will propose methods applying recurrent neural networks to construct the dioxyne prediction network. We will discuss the combustion controller network in future works.

### IV. DIOXYNE PREDICTION NETWORK

For the dioxyne prediction network, we considered the 3 layer Elman recurrent neural network with BP (back propagation) training.

For the network input data, we use all of the sensor data except carbon monoxide concentration values, and the single output of the network is used to predict the correct carbon monoxide concentration.

For the network training we use the database of incinerator sensor data collected, and apply BP training based on the

difference between predicted carbon monoxide concentration and the actual carbon monoxide concentration recorded for the same time frame.

As a preliminary experiment, we constructed a neural network taking all of the sensor values except carbon monoxide values as input data, and trained the network to output carbon monoxide values directly.

Figure 3 shows the training results of the preliminary experiment. From the results of the preliminary experiment, we found that the prediction accuracy is completely different between normal range carbon monoxide values, and high carbon monoxide values. The network learned to accurately predict normal range carbon monoxide values fairly quickly, but the same network failed to learn abnormal (high) range carbon monoxide values during the same training period. When network training was continued in order to increase the abnormal range carbon monoxide prediction, this time the accuracy of normal range carbon monoxide prediction deteriorated. This finding confirms our initial estimate that it would be difficult to train the neural network due to the complexity (if any) of the correlation between carbon monoxide concentration and each of the other sensor values.

For this reason, we decided to focus on detection of abnormally high carbon monoxide emission ( $>100\text{ppm}$ ) as the preliminary goal of the dioxyne prediction network.

The network output was changed from direct carbon monoxide concentration prediction value, to binary output where 1 predicts high carbon monoxide concentration ( $>100\text{ppm}$ ) and 0 predicts normal carbon monoxide concentration ( $\leq 100\text{ppm}$ ).

As for the neural network input, we considered the possibility that the large number of input nodes increases the problem domain and complicates the classification, causing an adverse affect on the network training efficiency. With this assumption, we decided to minimize the number of input nodes in order to first achieve a workable learning curve and prediction accuracy.

As mentioned before, it can be noted that oxygen concentration, flapper angle and carbon monoxide concentration are related, from the similar changes seen sequential data. Based on this assumption, for the initial model we use only flapper angle and oxygen concentration data as neural network input. Further, we assume that flapper angle, oxygen concentration and carbon monoxide concentration each show a particular time delay in their relationship. For this reason, in order to predict the carbon monoxide value for a given instance, the flapper angle and oxygen values must take into account the time delay. Data at some fixed time frame previous to the given instance should be used as the input data. The recurrent network structure could be used to automatically treat such time sequence data effectively, but for the initial model, we map sequential data of flapper angle and oxygen concentration of specified time delay to individual input nodes to the network. Specifically, we used 60 second delay for flapper angle ( $t-60$ ) and 30 second delay for oxygen data ( $t-30$ ), to predict the emission for time  $t$ .

## V. EXPERIMENT RESULTS

In order to confirm the effectiveness of the proposed dioxyne prediction network, we trained the proposed recurrent neural network using BP and compared the prediction accuracy. A standard sigmoid function was used as the neuron's base synapse function. The number of neurons used in each layer was 3 input neurons (2 inputs and 1 fixed input), 6 hidden layer neurons, and 1 output neuron. For recurrent training we considered 5 recurrent cycles (past 5 data) to be used for BP training.

For the training data, 100 cases of normal range carbon monoxide data and 100 cases of abnormal (>100ppm) carbon monoxide data, for a total of 200 cases were randomly selected from the incinerator sensor database. For the untrained data used to plot the training curve of network accuracy, 100 cases of normal range carbon monoxide data and 100 cases of abnormal range (>100ppm) carbon monoxide data, for a total of 200 cases were randomly selected from the incinerator sensor database.

Figure 4 shows the change in output error for the untrained dataset of the proposed neural network. The output error for normal range carbon monoxide values, output error for abnormal range (>100ppm) carbon monoxide values, and total output error is graphed.

Figure 5 is the graph of prediction accuracy for the same training results as Figure 4. The prediction accuracy shown here is the rate the network correctly predicted either normal or abnormal output. Here, output < 0.5 for normal carbon monoxide cases and output > 0.5 for abnormal carbon monoxide cases were considered as correct prediction. The prediction accuracy for normal range carbon monoxide values, prediction accuracy for abnormal range (>100ppm) carbon monoxide values, and total prediction accuracy is graphed.

## VI. CONCLUSION

The final prediction accuracy shown in Figure 5 was 0.83

for total prediction accuracy, 0.78 for normal range carbon monoxide values, and 0.89 for abnormal range carbon monoxide values. As was seen in the preliminary experiment, when the network is trained to increase the abnormal range output prediction, the normal range output prediction in turn decreased. But for this current proposed model the aim was to achieve workable prediction accuracy, and in this light we believe we achieved the goal. Further, as the original aim of the proposed dioxyne prediction network is to predict the occurrence of abnormal carbon monoxide emission (hence dioxyne emission), we believe it is acceptable to put priority over accuracy of abnormal range carbon monoxide output compared to accuracy of normal range carbon monoxide output.

For future works, we will consider methods to improve prediction accuracy, including the increase in the types of sensor input data, reevaluation of neural network structure (including using fuzzy rules to treat input data), as well as effect of using different base synapse functions for neurons.

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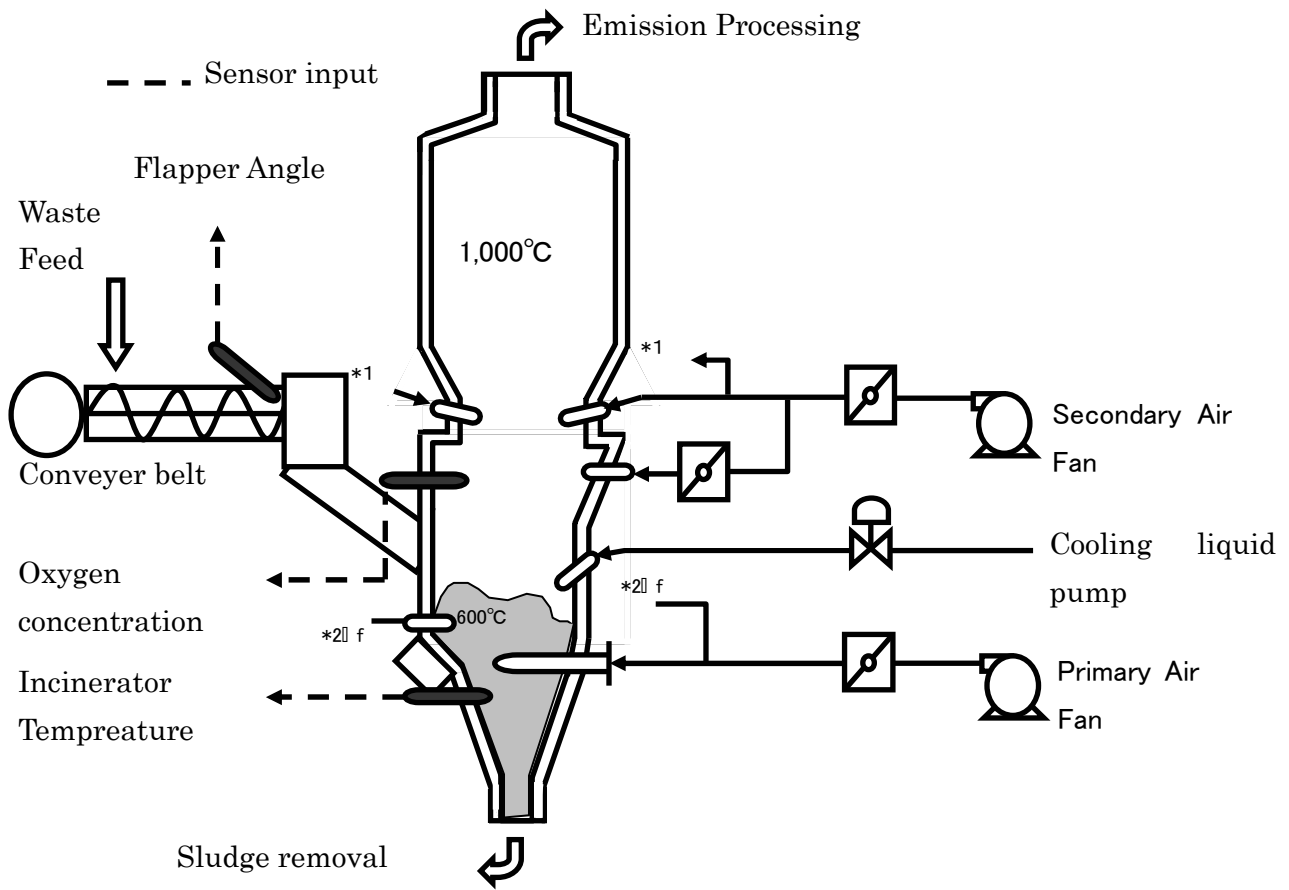


Figure 1. Schematic diagram of Fluidized Bed Incinerator

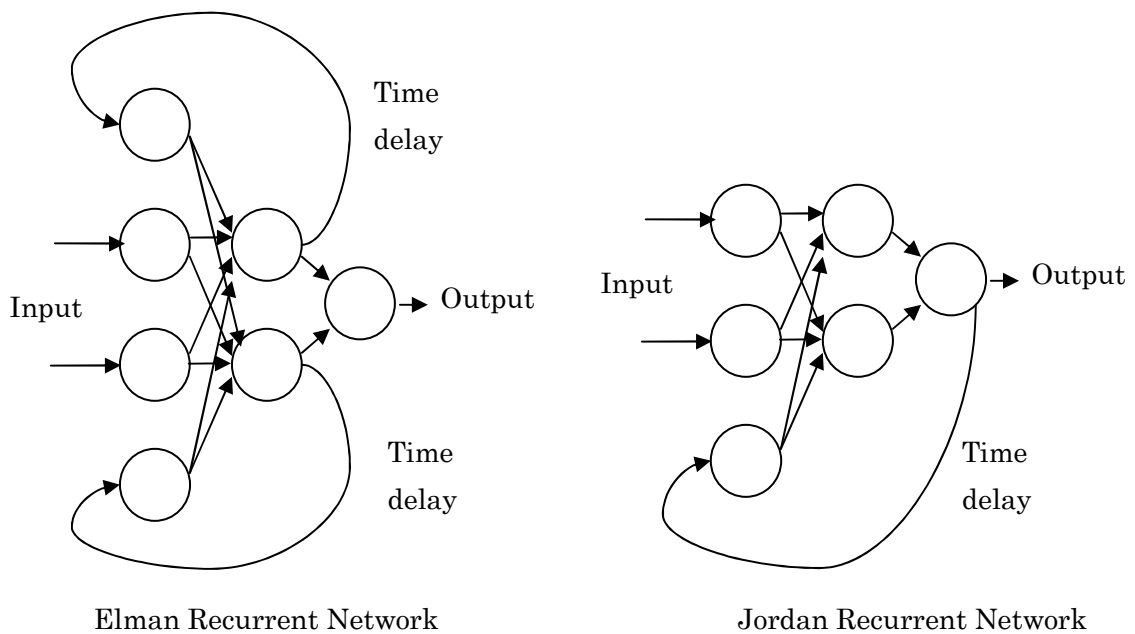
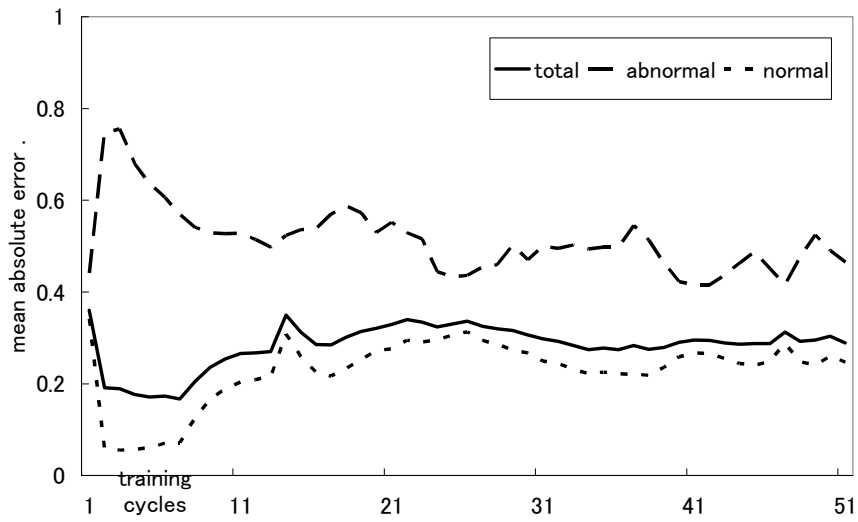
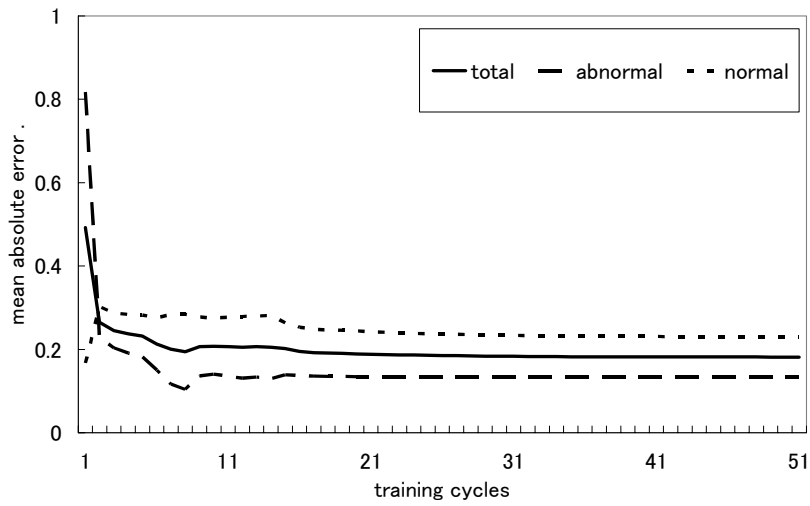


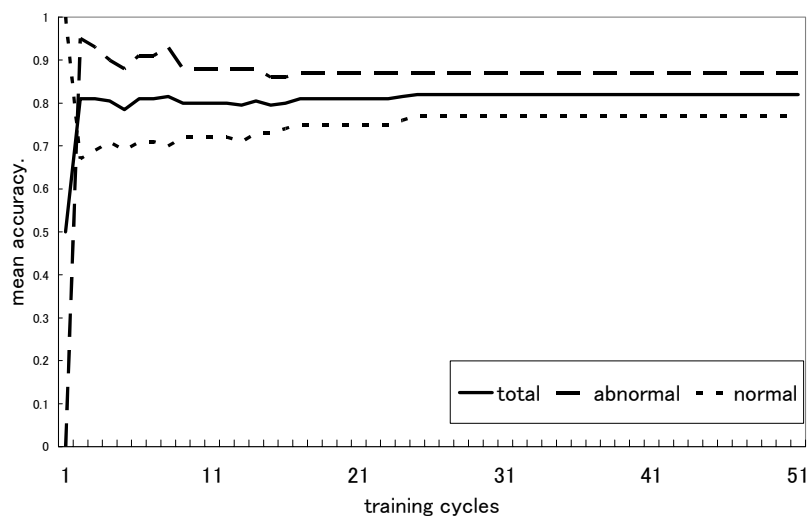
Figure 2. Comparison of recurrent network structures



**Figure 3. Preliminary experiment results of prediction error for untrained data**



**Figure 4. Prediction error of untrained data using proposed method**



**Figure 5. Prediction accuracy of untrained data using proposed method**